



Attorney Docket No.: UMM-026

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J. Don Chen and Hui Li

Serial No.: 09/041,994

Examiner: Not Yet Assigned

Filing Date: March 13, 1998

Art Unit: 1643

For: A TRANSCRIPTIONAL COACTIVATOR OF STEROID/NUCLEAR  
RECEPTORS AND USES THEREFORE

\*\*\*\*\*

I hereby certify that this correspondence is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to: Assistant Commissioner  
for Patents, Box Sequence, Washington, D.C. 20231 on the date set forth below.

June 18, 1998  
Date of Signature  
and of Mail Deposit

By Meg E. Williams  
Megan E. Williams, Ph.D.  
Registration No. P-43,270

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BOX SEQUENCE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER FOR DISKETTE OF SEQUENCE LISTING

Dear Sir:

In response to a communication received from the Examiner dated March 30,  
1998 regarding the Notice to Comply with Requirements for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, enclosed is a  
diskette which contains a computer readable form of the Sequence Listing for the above-  
identified application. Also enclosed is a duplicate hard copy of a Sequence Listing  
found on pages 73-85 of the application as originally filed.

The material on the enclosed diskette is identical in substance to the sequence listing appearing on pages 73-85 of the application as filed and as submitted herewith. The computer readable form of the Sequence Listing contained on the enclosed diskette is understood to comply with the requirements of §§ 1.821 and 1.825. No new matter has been added.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'Megan E. Williams', is written over a horizontal line.

Megan E. Williams, Ph.D.

Registration No. P-43,270

Agent for Applicants

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Dated: June 18, 1998

Application No. 09/04/994

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☒ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(f).
- ☐ 7. I am \_\_\_\_\_

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

**For Rules Interpretation, call (703) 308-4216**

**For CRF Submission Help, call (703) 308-4212**

For PatentIn software help, call (703) 308-6856

**PLEASE RETURN A COPY OF THIS NOTICE WITH YOUR RESPONSE**